





# Data Augmentation for Conversational Al

**The Web Conference 2024** 



**Tutorial website** 

## **Presenters**



Heydar Soudani
PhD Candidate
Radboud University
heydar.soudani@ru.nl



Evangelos Kanoulas
Full Professor
University of Amsterdam
e.kanoulas@uva.nl



Roxana Petcu
PhD Candidate
University of Amsterdam
r.m.petcu@uva.nl



Faegheh Hasibi
Assistant Professor
Radboud University
f.hasibi@cs.ru.nl

# Part 3: Conversation Generation - Open Domain

Duration: 30 mins

Presenter: Heydar Soudani

# **Open Domain Dialogue (ODD) System**

#### Definition

- Engage users in conversations across a wide variety of topics
  - without being confined to specific tasks or domains

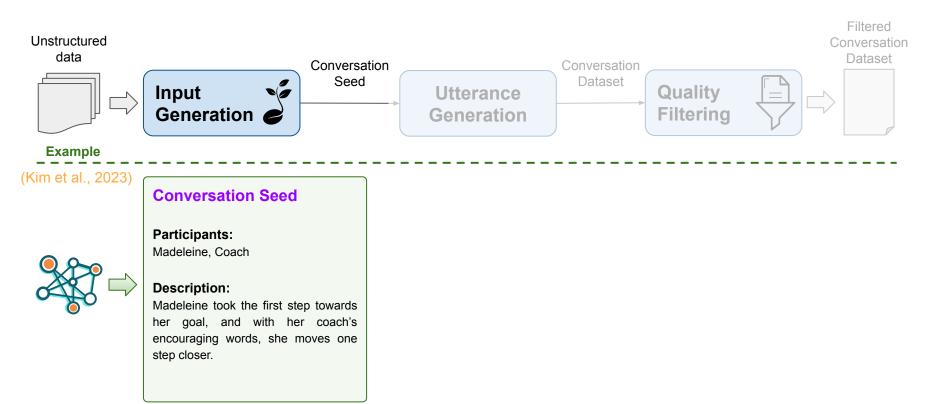
(Ni et al. 2023)

## Key Features of ODD

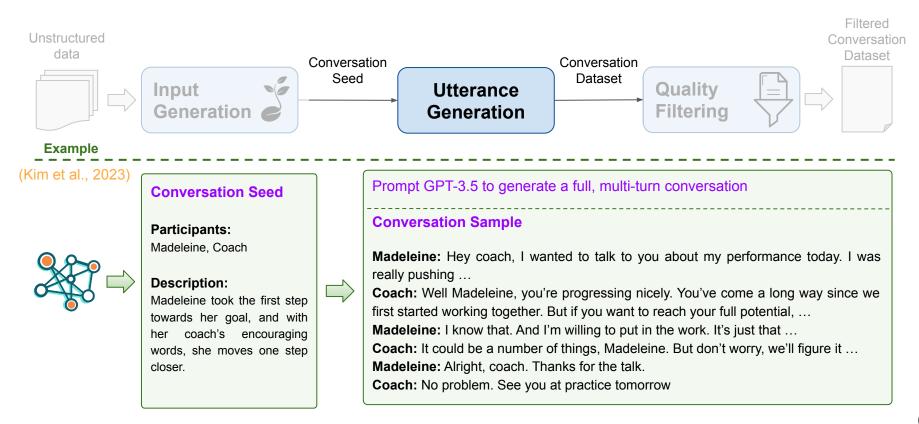
- **Coherence:** Conversation's turns meaningfully connect to each other
- **Diversity:** Avoid bland and repetitive responses & encourage engaging interactions
- Generality: Encompass a broad spectrum of topics
- Informativeness: Elicit informative responses, knowledgeable and relevant conversations

(Mehri et al., 2020) (Hwang et al., 2022) (Hwang and Lee, 2022)

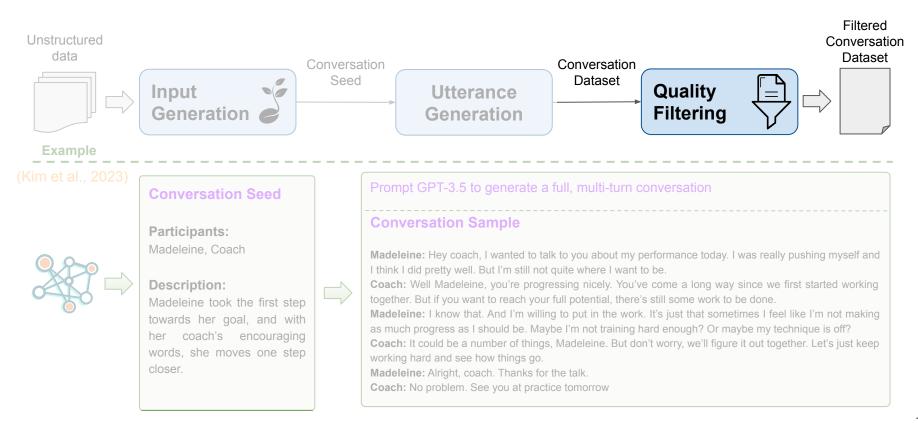
## **ODD Data Generation**

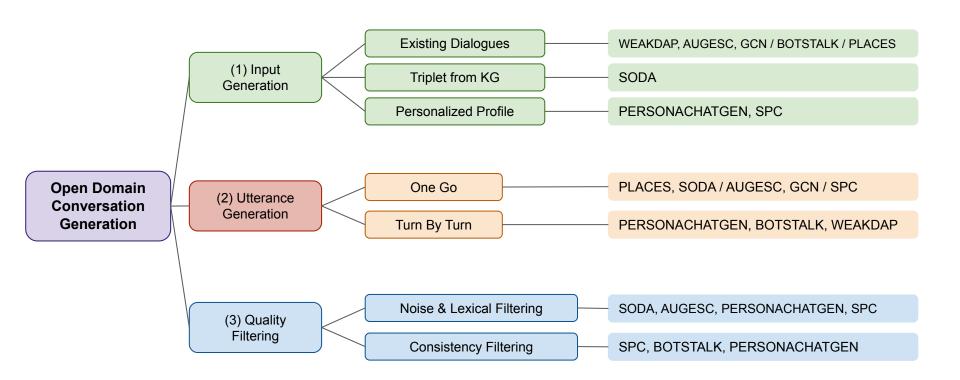


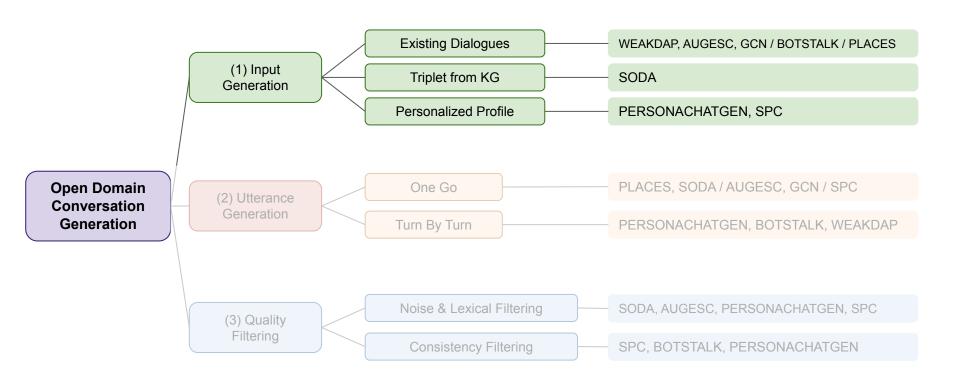
## **ODD Data Generation**



## **ODD Data Generation**







# **Input Generation**

- What is the conversation seed and why has it been defined for the generation process
- Conversation Seed: An information card containing a main topic, subtopics, and key details about the topic
- The conversation about this topic is going to take place

(Kim et al., 2023), (Zheng et al., 2023)

# Example from ESConv dataset (Liu et al., 2021)

#### **Conversation Seed**

Experience type: Previous experience

Emotion type: Anxiety

Problem type: job crisis

Situation: I hate my job but I am scared to quit

and seek a new career

#### **Conversation Sample**

Supporter: Hello, what would you like to talk about?

**Seeker:** I am having a lot of *anxiety* about *quitting my current job*. It is *too stressful* but pays

well.

**Supporter:** What makes your *job stressful* for you?

**Seeker:** I have to deal with many *people in hard financial situations* and it is *upsetting*.

**Supporter:** Do you help your clients to make it to a better financial situation?

...

# Input Generation - Existing Dialogues

#### **Using background information directly**

The task description and starting utterance are selected from existing dataset

#### AugESC

(Zheng et al., 2023)

The following is a conversation with an AI assistant. The assistant is helpful, empathetic, clever, and very friendly. It can use various support skills to provide emotional support to human.

**Human:** I moved into a new state recently, and there's a lot to do, but I don't have any friends in the new place I stay at.

**AI:** What's it like being away from family?

**Human:** Family is all I have here. They aren't exactly close, so I haven't gotten to see them in a while.

**AI:** That must be difficult. How do you feel about where you live?

**Human:** It's OK. I'm learning to like it a little bit. At least now I have someone who is usually around when I wake up.

**AI:** If only you were that lucky with people in general. People move for so many different reasons. I've found that often when I move, I just need to adjust my social circle a little, and I find that I then end up liking where I am.

**Human:** That's true. Maybe I should just find some people to hang out with.

### **Human generates background information**

Given a list of topics and tasks, humans are asked to generate background info and some dialogue samples

#### PLACES(Chen et al., 2022)

Topic: Relationships

Background info: Bob got engaged

<Conversation 0>

The following is a conversation between Alice and Bob about relationships. Bob recently got engaged.

Alice: Congrats on your engagement! When do you think you will have your wedding?

Bob: Thank you!! We're thinking of having it in November.

Alice: That's amazing! Will you pick a fancy destination?

• • •

# Input Generation - Triplet from KG

# Example



- Input: A Knowledge Graph
- **SODA**: social dialogue
- Generation Technique:
  - Sample a socially relevant triplet
  - Define the conversation participants

#### **Triplet from Atomic 10x:**

- Head: PersonX moves a step closer to the goal
- Relation: xNeed
- Tail: to take the first step

#### Name participants:

Speakers: Madeleine, Coach

(Kim et al., 2023)

# Input Generation - Triplet from KG

- Input: A Knowledge Graph
- Generation Technique:
  - Sample a triplet
  - Define the conversation participants
  - Convert the triplet to a sentence
  - Expand the sentence

(Kim et al., 2023)





#### **Triplet to Sentence:**

Madeleine took the first step. Madeleine moves a step closer to the goal

#### **Sentence to Description:**

Madeleine took the first step towards her goal, and with her coach's encouraging words, she moves one step closer.

**Conversation Seed** 

## Personalized Dialogue Systems

- User Profile (UP)
- Profile Sentences (PS)
  - Contain personalized information about the user

Fig. from PersonaChat dataset (Zhang et al., 2018)

| Persona 1                               | Persona 2                       |
|---|---------------------------------|
| I like to ski                           | I am an artist                  |
| My wife does not like me anymore        | I have four children            |
| I have went to Mexico 4 times this year | I recently got a cat            |
| I hate Mexican food                     | I enjoy walking for exercise    |
| I like to eat cheetos                   | I love watching Game of Thrones |

[PERSON 1:] Hi

[PERSON 2:] Hello! How are you today?

[PERSON 1:] I am good thank you, how are you.

[PERSON 2:] Great, thanks! My children and I were just about to watch Game of Thrones.

[PERSON 1:] Nice! How old are your children?

[PERSON 2:] I have four that range in age from 10 to 21. You?

[PERSON 1:] I do not have children at the moment.

[PERSON 2:] That just means you get to keep all the popcorn for yourself.

[PERSON 1:] And Cheetos at the moment!

[PERSON 2:] Good choice. Do you watch Game of Thrones?

[PERSON 1:] No, I do not have much time for TV.

[PERSON 2:] I usually spend my time painting: but, I love the show.



## **Generation steps**

- 1) Collect/Generate a pool of PS
  - Given a list of topics, a LLM generates
  - Using PSs from PersonaChat dataset
    - Prompt a LLM to generate more PS
- 2) Group number of PS to create a UP
  - Contradiction score using NLI classifier
- 3) Filtering
  - Heuristic: Do not follow the template

#### ### User's persona: Want | Activity

Generate five profile sentences related to the given user's persona and the "activity" in each sentence:

- 1. I have always wanted to travel to Ireland or Puerto Rico. (activity: travel)
- 2. I hope to visit Quebec, Canada someday. (activity: travel)
- 3. One day I would really like to skydive. (activity: skydiving)
- 4. Before I die, I want to skydive. (activity: skydiving)
- 5. I hope to see the world with my husband. (activity: travel)

#### ### User's persona: Preference | Movie | Title

# Generate five profile sentences related to the given user's persona and the "movie title" in each sentence:

- 1. I am a big fan of the Lord of the Rings movies. (movie title: Lord of the Rings)
- 2. I love all of the Harry Potter movies. (movie title: Harry Potter)
- 3. The Hobbit is one of my favorite movies. (movie title: The Hobbit)
- 4. I have seen all of the Star Wars movies. (movie title: Star Wars)
- 5. I enjoy watching Marvel movies. (movie title: Marvel)

(Lee et al., 2022), (Jandaghi et al., 2023)



## **Generation steps**

- 1) Collect/Generate a pool of PS
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  - Contradiction score using NLI classifier
- 3) Filtering
  - Heuristic: Do not follow the template

I am studying at a community college.

I am a teacher at the high school.

"The Great Gatsby" is another book I enjoy.

I'm a big fan of the violin.

I love reading books that are full of adventure.

(a) An example of persona set containing contradiction between profile sentences

I am a very creative and imaginative person.

My older sister is a doctor.

I love to read books that are science fiction.

I enjoy watching suspenseful movies.

I have to be very careful in the springtime because of my allergies.

(b) An example of persona set containing no contradiction between profile sentences

(Lee et al., 2022), (Jandaghi et al., 2023)

## **Example**



## **Generation steps**

- 1) Collect/Generate a pool of PS
  - Given a list of topics, a LLM generates
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- 2) Group number of PS to create a UP
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  - Heuristic: Do not follow the template

Profile Sentence **Entity Key Entity Value** I enjoy listening to music by Lady Gaga. Lady Gaga music artist I think Taylor Swift is amazing. Taylor Swift music artist I enjoy listening to music by Lady Gaga.  $\ni$ Lady Gaga I think Taylor Swift is amazing. Lady Gaga  $\ni$ **Entailment Score** I enjoy listening to music by Lady Gaga. music artist 0.96 I think Taylor Swift is amazing. 0.95 music artist

(Lee et al., 2022), (Jandaghi et al., 2023)

# **Component 2: Utterance Generation**

**Objective**: Convert "Conversation Seed" to "Conversation Sample"

# Example from PERSONACHATGEN (Lee et al., 2022)

## Conversation Seed



#### P1's Persona:

I love food and I love to eat.

I am a woman who loves fashion.

I love reality TV.

I prefer to watch comedies.

I have hay fever.

#### P2's Persona:

I've been to Italy three times.

I graduated from Yale.

I've read all of the books by Jodi Picoult.

I'm a big fan of books, and my favorite genre is fantasy.

I have asthma and it makes it hard to breathe sometimes.

#### **Conversation Sample**

**P1:** Hey, how are you?

P2: I'm good, just got back from Italy.

P1: Oh, how was it?

P2: It was great, I love it there.

P1: What did you eat?

**P2:** Everything, I love Italian food.

**P1:** That sounds amazing, I need to go there sometime.

**P2:** Yeah, you should definitely go. It's a great place.

P1: I also love fashion, do you follow any trend?

**P2:** No, not really. I prefer classic styles.

P1: That's cool, I like to watch reality TV.

**P2:** Yeah, I don't really watch much TV. I prefer to read.

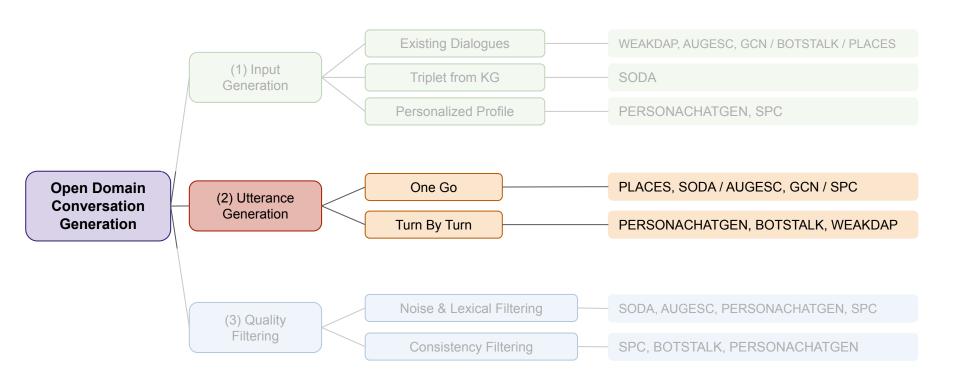
**P1:** That's great, what do you like to read?

**P2:** I like to read books, my favorite genre is fantasy.

P1: That's great, I have hay fever so I can't really read outside.

**P2:** Yeah, I have asthma so it's hard for me to breathe sometimes.





1) ICL: Only prompting, wo. Fine tuning Topic: Relationships Background info: Bob got engaged Result: <Conversation 0> Bob about relationships. Bob recently got engaged. Random In-Context Examples think you will have your wedding? Bob: Thank you!! We're thinking of having it in special. November. Alice: That's amazing! Will you pick a fancy destination? <Conversation 2> Topic: Pets Background info: Alice likes cats. Bob like dogs. <Conversation 3> The following is a conversation between Alice and Bob about pets. Alice likes cats but Bob likes dogs.

(Chen et al., 2022)

Alice: What kind of pet do you have?

Bob: I have a dog. Her name is Hope.

Alice: Are you an animal person?

Bob: I do love animals. I think they're so

Alice: I just adopted a kitten from a shelter. Her name is Mimi.

Bob: Aww, I hope she's doing well. You must be a really good pet parent.

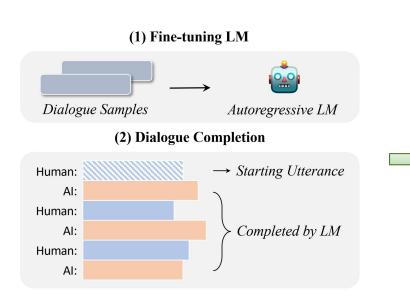
Alice: Thanks! I just wanted to do what I could to make sure she was safe.

Bob: That's the greatest thing anyone can do for a pet. Well, I should probably go now.

Alice: OK! Have a good night.

#### 2) First Fine-tune on Dialogue Completion task, then prompt

(Zheng et al., 2023)



The following is a conversation with an AI assistant. The assistant is helpful, empathetic, clever, and very friendly. It can use various support skills to provide emotional support to human.

**Human:** I moved into a new state recently, and there's a lot to do, but I don't have any friends in the new place I stay at.

AI: What's it like being away from family?

**Human:** Family is all I have here. They aren't exactly close, so I haven't gotten to see them in a while.

**AI:** That must be difficult. How do you feel about where you live?

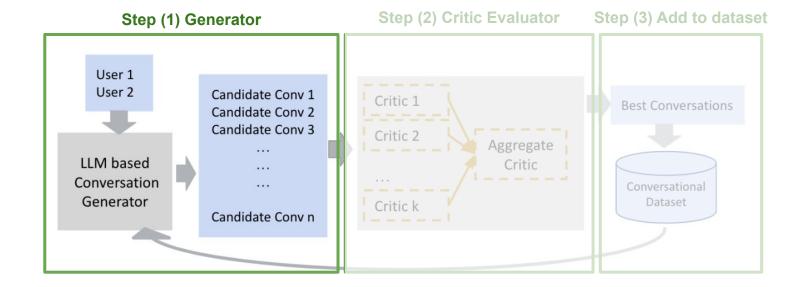
**Human:** It's OK. I'm learning to like it a little bit. At least now I have someone who is usually around when I wake up.

AI: If only you were that lucky with people in general. People move for so many different reasons. I've found that often when I move, I just need to adjust my social circle a little, and I find that I then end up liking where I am.

**Human:** That's true. Maybe I should just find some people to hang out with.

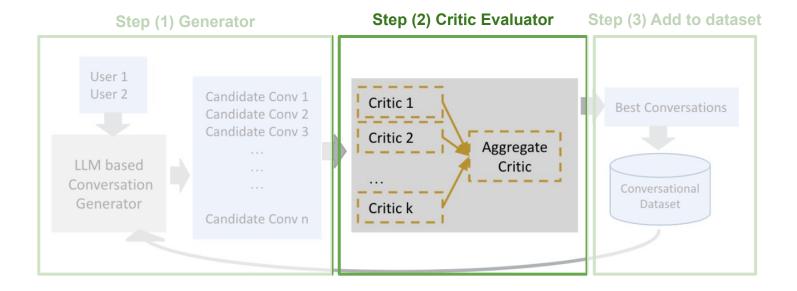
3) Prompting with Generator-Critic Architecture

(Jandaghi et al., 2023)



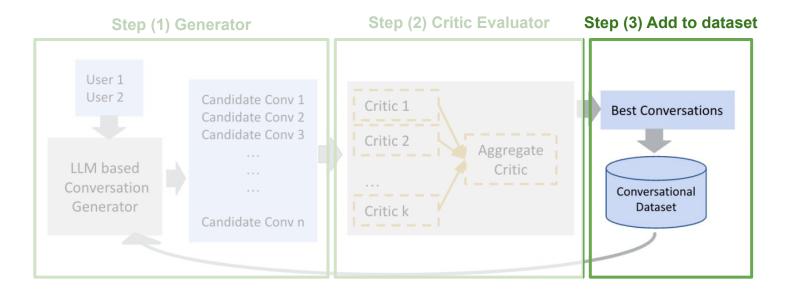
## 3) Prompting with Generator-Critic Architecture

(Jandaghi et al., 2023)



## 3) Prompting with Generator-Critic Architecture

(Jandaghi et al., 2023)



(1) Two persona Profiles

two LLMs. User simulation

Reason 2: Merging multiple conversation

datasets

(Kim et al., 2022)

| Da | -  | - A |  |
|----|----|-----|--|
| re | 50 | n A |  |

#### Person B

| Skill context from ConvAI2                                | Skill context from ConvAI2                      |
|---|---|
| I like to play soccer; I like to read;                    | I have 3 children; I am a karate black belt;    |
| Skill context from WoW                                    | Skill context from WoW                          |
| Nike Inc.   | Nike Inc.; multinational corporation Air Jordan |
| Skill context from ED                                     | Skill context from ED                           |
| I really like this girl at my job, but I am; Apprehensive | None  |

#### Dialogue

- A: Do you have much experience using the different types of cleats? Which do you like best? (P)
- B: I have a little. I also know about Air jordans, a brand of footwear also popular with athletes. (K)
- A: I enjoy Air jordans as well. I like to play soccer, and it's extremely hard to get good footwear. (P)
- B: I agree. Air jordans are good for calves, and it's really easy to pull off. (K)
- A: Air jordans are generally made with the most material so it makes sense they'd be easy to use. (K)
- B: And now shoes can go together with clothes as well, like any other type of material. (K)
- A: Do you know much about aeros then? They have excellent fit and beauty. (K)
- B: I have a hard time finding it but they are great shoes. (P)
- A: I hope you can find ones that are comfortable to you. (E)
- B: Yes. I hope you can get those shoes too. (E)

Reason 3: More diversity and quantity

**Trajectory Augmentation** 

All-turn Augmentation

Last-turn Augmentation

(Chen et al., 2022)

#### Output

#### **Original Conversation**

**Turn 1:** Alice in a happy mood: Oh, man. I had the best supper last night. My wife made a stir-fry and it was amazing!

**Turn 2:** Bob in a happy mood: I love stir fry crispy bitesize vegetables covered in a mixture of soy sauce and oyster sauce. Wilted greens and fresh bean sprouts. Throw in some onion and garlic and ginger! Mmm! Mmm! It's almost lunchtime. I would die for a plate of stir fry right now!

**Turn 3:** Alice in a neutral mood: Well, you can keep the vegetables, I'll take the meat. The stir fry my wife made was really hearty, with chunks of beef and slivers of bell peppers and onion...

**Turn 4:** Bob in a surprised mood: What? You call that a stir fry? More meat than vegetables? That's the worst insult you could throw at a Chinese stir fry. What disgrace to the wok she fried it in! What you had is equivalent to a fajita without the wrap!

#### **Augmented Conversation**

**GT Turn 1:** Alice in a happy mood: Oh, man. I had the best supper last night. My wife made a stir-fry and it was amazing!

**GT Turn 2:** Bob in a happy mood: I love stir fry crispy bitesize vegetables covered in a mixture of soy sauce and oyster sauce. Wilted greens and fresh bean sprouts. Throw in some onion and garlic and ginger! Mmm! Mmm! It's almost lunchtime. I would die for a plate of stir fry right now!

**Generated Turn 3:** Alice in a neutral mood: Stir fry is good. When my dad cooks, he makes stir fry for us.

**Generated Turn 4:** Bob in a surprised mood: Stir fry was was the only Asian food I had until I was ten. I've never known what it was called!

## **Output**

Reason 3: More diversity and quantity

**Trajectory Augmentation** 

All-turn Augmentation

Last-turn Augmentation

(Chen et al., 2022)

#### **Original Conversation**

**Turn 1:** Alice in a surprised mood: My goodness! She is thirty seconds faster than the world record in 5000 metres race.

**Turn 2:** Bob in a surprised mood: Excuse me, what did you say?

**Turn 3:** Alice in a happy mood: A Chinese girl has broken the world record in the Olympic Games.

Turn 4: Bob in a surprised mood: That's incredible. I can't believe it.

**Turn 5:** Alice in a happy mood: You have to. It is sure.

**Turn 6:** Bob in a surprised mood: So, what's her name?

#### Augmented Conversation 1

GT Turn 1: Alice in a surprised mood: My goodness! She is thirty seconds faster than the world record in 5000 metres race.
GT Turn 2: Bob in a surprised mood: Excuse me, what did you say?
Generated Turn 3: Alice in a happy mood: I said my goodness, that

#### Augmented Conversation 2

airl is fast.

GT Turn 1: Alice in a surprised mood: ...

GT Turn 2: Bob in a surprised mood: ...

**GT Turn 3:** Alice in a happy mood: A Chinese girl has broken the world record in the Olympic Games.

**Generated Turn 4:** Bob in a surprised mood: I am dying to know who is the girl?

#### **Augmented Conversation 3**

GT Turn 1: Alice in a surprised mood: ...

GT Turn 2: Bob in a surprised mood: ...

**GT Turn 3:** Alice in a happy mood: A Chinese girl has broken the world record in the Olympic Games.

GT Turn 4: Bob in a surprised mood: That's incredible. I can't believe

**Generated Turn 5:** Alice in a happy mood: This is the first time in the history of the world

#### Augmented Conversation 4

...

Reason 3: More diversity and quantity

**Trajectory Augmentation** 

All-turn Augmentation

**Last-turn Augmentation** 

(Chen et al., 2022)

#### **Output**

#### **Augmented Conversation**

GT Turn 1: Alice informs Bob: Good morning.

GT Turn 2: Bob informs Alice: Er, good morning, yes, er...

**GT Turn 3:** Alice directs Bob: I'm phoning about the job that was in the paper last night.

**GT Turn 4:** Bob directs Alice: Oh, yes. Erm, well, could you tell me your name, please?

GT Turn 5: Alice informs Bob: Oh, Candida Fawcett.

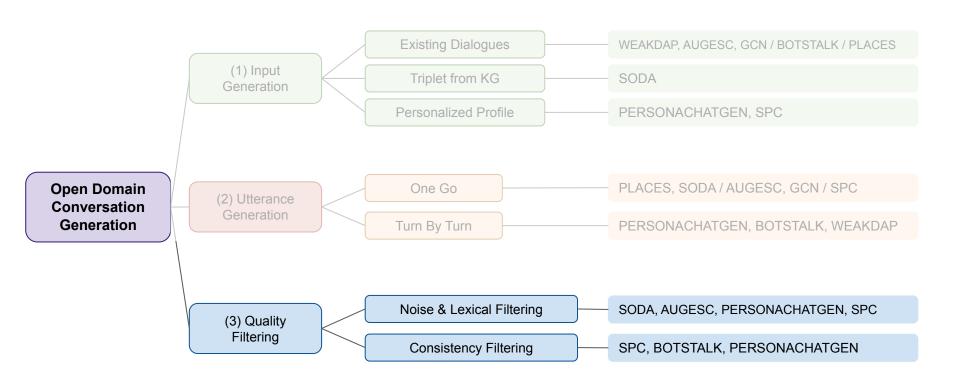
**GT Turn 6:** Bob questions Alice: Oh, yes. Erm, well, what exactly is it that interests you about the job?

**GT Turn 7:** Alice informs Bob: Well, I just thought that it was right up my street you know.

**GT Turn 8:** Bob directs Alice: Really, hmmmm. Erm, well, could you perhaps tell me a little about yourself?

**GT Turn 9:** Alice informs Bob: Yes, arm, I'm 23. I've been working abroad, I'm um...

**Generated Turn 10:** Bob informs Alice: Well, perhaps you would like to think it over. Erm, and er...



# **Component 3: Quality Filtering**

## **Objective**

- Remove conversions do not contain ODD features
  - (e.i. Correctness, Diversity, Consistency, Informativeness)

## **Noise & Lexical Filtering:**

Checking the Correctness, Diversity

**Approach:** Heuristic rules

- Unfinished conversations
- Do not follow the wanted patterns
- Contain repetitive pattern
- Dangerous or Toxic context with social bias

(Kim et al., 2023), (Zheng et al., 2023), (Lee et al., 2022)

## **Consistency Filtering:**

Checking the consistency

- Between the turns
- Between persona sentences in one user profile

**Approach:** NLI Classifier

(Kim et al., 2022), (Lee et al., 2022), (Jandaghi et al., 2023)